

PCT

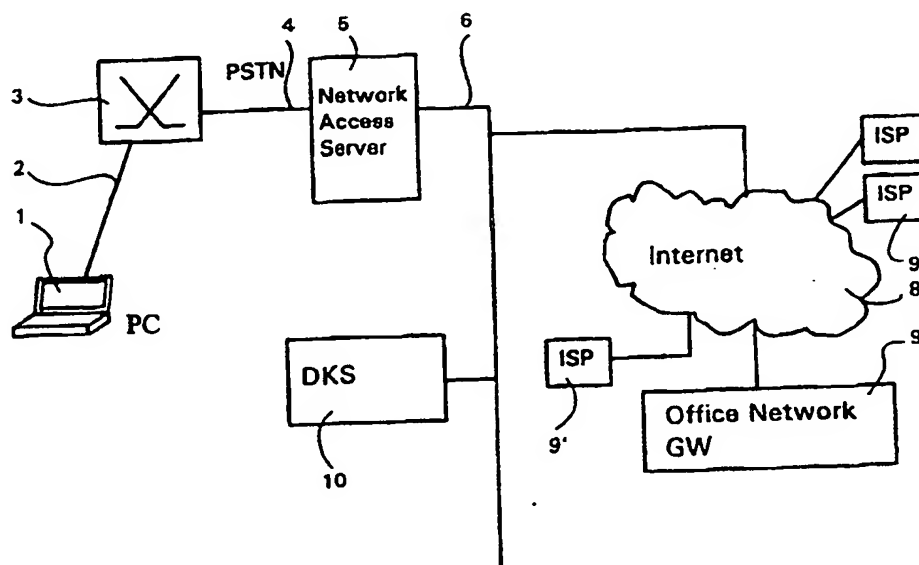
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04L 12/22, 29/06		A1	(11) International Publication Number: WO 99/56434
			(43) International Publication Date: 4 November 1999 (04.11.99)
(21) International Application Number: PCT/EP99/02140		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 29 March 1999 (29.03.99)			
(30) Priority Data: 980952 29 April 1998 (29.04.98) FI			
(71) Applicant: TELEFONAKTIEBOLAGET LM ERICSSON (publ) [SE/SE]; S-126 25 Stockholm (SE).			
(72) Inventor: MELEN, Jan-Mikael; Savitiilentie 12, FIN-02320 Espoo (FI).			
(74) Agent: BORENIUS & CO. OY AB; Kansakoulukuja 3, FIN-00100 Helsinki (FI).		Published With international search report.	

(54) Title: METHOD, ARRANGEMENT AND APPARATUS FOR AUTHENTICATION



(57) Abstract

The present invention relates to a method and apparatus for authenticating communications in telecommunications networks. The method comprises the steps of storing authentication data and user interface identification data in an authentication server such that the identification data is bound with associated authentication data. An identification data of a communicating user interface is transmitted to the authentication server, whereafter the identification data is received in the authentication server. Such authentication data is retrieved from the stored authentication data which is bound to the received identification data. At least a part of the retrieved authentication data is then transmitted from the authentication server as a response to the received identification data.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

METHOD, ARRANGEMENT AND APPARATUS FOR AUTHENTICATIONFIELD OF THE INVENTION

5

The present invention relates to methods for authentication in telecommunication networks. The invention relates further to an arrangement for accomplishing authentication operations in telecommunication networks and to an apparatus for use in authentication.

10

BACKGROUND OF THE INVENTION

There are various types of communication applications and/or proceedings and/or services which are implemented through a telecommunication network (or several networks) and which require at least some kind of authentication. The authentication may be required e.g. when a user is accessing a specific application through a telecommunications network so as to ensure the user's right for the access. In addition, when a user is already using an application through a communications network, there may arise a need for verifying the user's right to use the application and/or the user's right to make some further proceedings, such as reconfiguration or reprogramming or updating operations, during the use, or to receive an acknowledgment from the user so as to allow the application to make some further proceedings, such as to retrieve or transfer information from the application or an associated database/record or to configure the application or information contained in an application database.

25

30

Examples of applications which might require an authentication include various commercial and noncommercial services and/or databases or records obtained through packet switched communications networks, such as Internet, Intranet or Local Area Networks (LAN). The examples include also applications such as payment services and banking

35

services accessed through packet switched communications networks. The examples of the applications include also proceedings such as resource access, remote programming or reprogramming, or reconfiguring, updating or maintenance of software and/or databases through a communications network, as well as transmitting of confidential files and records etc. proceedings accomplished through a communications network. As already referred to, even some of the free of charge services obtained through communications networks may require an authentication.

The amount of applications which require at least a some degree of authentication of the user who and/or terminal which is trying to access the application or of a user who and/or a terminal which is already using them, but needs to be authenticated or checked during the use of the application or needs to acknowledge something during the use of the application, has increased heavily during the past years. A need for a secure and reliable authentication is also expected to increase further in the future.

The present data communications systems may utilize special keys or electronic signatures (electrically implemented signings) for authentication of the use thereof. From these the keys are used in the communication authenticity proceedings together with various cryptographic techniques and/or algorithms between two communicating data processing devices or computer devices and/or servers and/or nodes etc. data communication equipment.

According to one scenario a random challenge is given to encryption functions of the two computer devices. Both of these computers have a secret, i.e. an encryption key, which is also given to the encryption/decryption functions in both of the computers. Thereafter the results of the calculations of the two encryption functions are compared, and if the result of the comparison is positive, the authenticity is considered as being in force, and if the

comparison gives a negative result, then the authenticity test is considered as being failed.

In most cases the keys are public or private keys. In a public key method a user can be identified by means of a key (for instance a password). The user and the application can use the public key for encrypting of data. In a private key method the key is usually known by the user only. The user can thus be identified only in case the key is shared between the user and the application (so called shared secret). In most cases the private key can therefore be used only for decrypting of encrypted data, whereas the shared secret key can be used both for the encryption and decryption operations.

In addition, the keys can be symmetric or asymmetric relative to the time. However, the use of asymmetric keys during a connection can make the running of the authentication arrangement too heavy. Thus an asymmetric key (or keys) is usually used only when making up a connection, and a symmetric key (or symmetric keys) is then used for the connection itself.

The electronic or digital signature is a block of data that has been created by using some secret key. A public key can be used to verify that the signature was really generated by using a corresponding secret key. The algorithm used to generate the signature must be such that it is impossible to create or guess such a signature that could be verified as a valid signature without a knowledge of the actual secret key.

To give a better understanding of the background, some of the terms of the art are explained in more detail with a brief description of some of the drawbacks thereof:

- A user identity (ID). Each of the users is having a user identity (ID), which is also sometimes referred to as

a username. The user usually creates the ID by himself, and it may, for instance, be formed of the initials, the first name and/or the surname of the user, his/hers nickname or similarly. Thus the user IDs do not provide any proper and reliable security against unauthorized or illegal use of them. An ID can be used by anybody instead of the actual owner thereof.

- A password. At the present the use of a password or several passwords together with the user ID is the most often used approach for the authentication. The password is given to the remote application through a user interface, e.g. through a computer terminal connected to a communications network. However, this solution does not take the vulnerability of the network into account, since the password is exposed to everyone who has an access to the network (and who is skilled enough to read the passwords from the message).

- A secret. The secret may be described as an electronic password or a signature or an encryption key which is stored and used by e.g. a user interface. Even though the secret is not revealed to the network, it may end into "wrong hands" and could be used by some other party than those who are originally intended to be the users of the secret.

- An authentication software in a user interface. This is a more sophisticated approach for the authentication. A password code is given to a program in the user interface, which then automatically authenticates in a cryptographic manner the access to the requested application. Even though this provides a more secure arrangement than the above solutions, it still leaves a possibility for catching the secret passwords from the user interface. It is also possible to modify the software without a notice to the actual user.

The above already mentions some parties which may be involved when implementing the present authentication systems. They are also briefly explained in the following:

5

- A user is usually a human being i.e. a real person who uses various applications or services through a telecommunications network or several networks connected to each other. The user can be identified by means of a user ID together with a key (a password or a secret) which is only known by him/her (a public key method), or by means of a key which is shared between the user and the application (a shared secret key method).

15 - An application is a party that wants to ensure the authenticity of the user. The application can also be called as a service. From the application's point of view the authenticity question can be divided in four different categories (questions):

20 1) is the user at the moment in the other end? (so called peer-entity-authentication),
2) are the further messages and communication received from the same user? (integrity of the message stream),
3) does a specific message and communication originate from a certain user? (data origin authentication), and
25 4) is the message and communication such that even a third party may believe it to originate from a certain user? (non-repudiation).

30 - A user interface is a device or an arrangement which enables the user to access the application. In most instances it can also be referred to as a terminal, and may consist of a computer (e.g. Personal Computer; PC), a workstation, a telephone terminal, a mobile station, such as a mobile telephone or a radio or a pager or a
35 combination of a mobile telephone and a data processing device, an automatic money teller and/or banking machine, etc. The user interface provides input/output facilities

and it may possibly even provide a part of the application.

- A key server is a server which contains all keys and signatures of different users of a communications network.

5 In the present systems the key server is located in the global and open connectionless Internet network, and is thus accessible by all those users who are having access to the Internet. The present key servers are arranged to respond to key queries sent by the users of the Internet.

10 Usually the procedure is such that the user of the Internet gives the name (or ID) of the other party, and thereafter the key server retrieves a key from a database thereof and sends this key as a response to the user. The information contained by an individual key server can be updated either

15 by anybody who is capable of using the Internet or only by the owner of the server, in case the access to the key server is limited to this.

SUMMARY OF THE INVENTION

20

A problem with the present systems is that the used communications system and the users thereof have to trust that the user initially gave a correct user identity (ID) to the system, e.g. to a key server. In addition, the

25 information stored in the database of an Internet key server can be changed by a third party, and thus these open databases are not kept secure e.g. against hackers.

To improve the security, certified key servers are

30 introduced and used instead of the conventional uncertified key servers. The certified key server will not accept the key of the user over the packet switched communications network, but the user must give the key to the administrator of the certified key server by a "hand-in-

35 hand method". This, however, makes the use thereof more complicated and many of the users feel it uncomfortable, and thus do not want to use them.

Therefore the present key servers are utilized such that the user downloads with a ftp (file transfer protocol) a key. The system (e.g. the used application) has to trust, in addition to the correctness of the given user ID, that nobody has changed the original key either.

The electronic signatures cannot be trusted either since there is no absolute certainty of the correctness of the electronic keys allocated in a key server. The users/systems have to trust that the user gave a correct user identity. The electronic signatures are also vulnerable to hackers or similar intruders. For example, in the Internet environment these can be easily configured for every user, and anybody can change the public keys if he/she wants to do this.

Another problem of the electronic keys/signatures is that they require complex authentication programs. And still there is no absolute certainty of the correctness or origin of the keys or signatures. In case the access to the application is made as secure as possible by the prior art solutions, the application easily becomes extremely complex from the architecture thereof, and becomes also complicated and more time consuming to access and use.

In case the security level will be increased, the amount of the required hardware and software is also increased, which leads to an increased need for maintenance and updating thereof, and thus the total costs of the authentication may become essentially high. In addition, it is believed that a condition called as "absolutely secure" does not even exist in the present open communications networks, as the technical development makes it possible e.g. for the hackers to solve even the more complicated ones of the present security arrangements.

A human problem lies on the fact that the keys or signatures may become quite complicated and/or too long, or that there may be too many of them for a user to handle and remember all of them correctly. Typically a key which is
5 considered as secure in the secret key method is 128 bits or longer and in the public/private key method a secure key is considered to be 1024 bits or longer. For the most of the people it is impossible to remember this kind of keys.

10 In addition to the possibility of catching the electronic key or signature or password during its transmission over an open packet switched communications network, as was discussed above, today's solutions do not sufficiently pay attention to the vulnerability of the user interfaces
15 either. The terminal devices used to form the interfaces have developed to be full of complex technology and software such that most of the users are no longer capable of fully controlling the terminals, or even understanding the operation thereof. In addition, it often occurs that
20 many users share the same terminal device (e.g. the terminal is a commonly used PC) and/or that some external maintenance personnel has an access to the computers of a per se closed organization.

25 The computer terminals contain stored state and programs in the memory means thereof, which can be modified. In the modern computers it is possible to modify the software thereof even such that the user does not notice this, and even through the communication paths without any physical
30 access to the device itself. To give an example of the risks, it is possible to modify a program in a computer terminal such that it modifies the data the user sends e.g. to a bank, e.g. such that the computer modifies all bank transfers in a certain day to another account than what was
35 designated by the user. This modifying or reprogramming without a notice may cause serious and huge damages when used against ordinary individual users, and especially when used against organizations such as companies or public

administration. This all means that the ordinary terminal devices and communication paths cannot be trusted..

Therefore it is an object of the present invention to
5 overcome the disadvantages of the prior art solutions and to provide a new type of solution for authentication.

An object is to provide a method and an arrangement by means of which a user interface accessing an application
10 can be authenticated in a more secure manner than what has been possible in the prior art. A further object is to provide a more secure authentication when a need for the authentication arises during the use of an already accessed application.

15 An object is to provide a solution in which the application can be certain that the user identity and the authentication data, such as a key or signature, are correct.

20 An object of the present invention is to provide a solution in which a telephone subscription number, an identification module of a mobile station or a mobile equipment identity or identity provided by a smart card or similar means can
25 be utilized in the authentication.

An object is to provide a solution by means of which data encryption procedures between the communicating parties can be realized in a novel and improved manner.

30 The objects are obtained by a method of authenticating communications in telecommunications networks, wherein the method comprises storing authentication data in an authentication server, storing a user interface
35 identification data in the authentication server such that it is bound with the associated authentication data, transmitting an identification data of a communicating user interface to the authentication server, receiving the

identification data in the authentication server,
retrieving such authentication data from the stored
authentication data which is bound to the received
identification data, and transmitting at least a part of
5 the retrieved authentication data from the authentication
server as a response to the received identification data.

According to an alternative the method of authenticating
communications in telecommunications networks comprises the
10 storing authentication data in an authentication server,
storing a user interface identification data in the
authentication server such that it is bound with the
associated authentication data, transmitting an
authentication data for a communicating user interface to
15 the authentication server, receiving the authentication
data in the authentication server, retrieving such user
interface identification data from the stored user
interface identification data which is bound to the
received authentication data, and transmitting at least a
20 part of the retrieved user interface identification data
from the authentication server as a response to the
received authentication data.

In addition, the invention provides an arrangement for use
25 in telecommunications networks. The arrangement comprises a
user interface connected to a first telecommunications
network, said user interface having an identification, an
application accessible through a second telecommunications
network, an access server enabling the user interface to
30 access the second telecommunications network through the
first telecommunications network, and an authentication
server, wherein the authentication server comprises a
record or a database in which the identification of the
user interface and a corresponding authentication data are
35 stored in a retrievable manner and such that they are bound
together.

An authentication server for use in telecommunications networks is also provided. The authentication server comprises a database or record for storing a user interface identification data for a user interface terminal connected
5 to a telecommunication network and authentication data for a plurality of user interface terminals such that the user interface identification is bound to an associated authentication data for said user interface terminal.

10 Several advantages are obtained by means of the present invention, since the solution provides a simple, reliable and controllable manner for authentication. The solution increases the level of security of a packet switched network to a level which substantially corresponds at least
15 the level of security of a public switched telephone network (PSTN). The apparatus providing the authentication is under surveillance of a trusted party, such as a network operator (e.g. a public telephone network operator) or similar party who can in general be kept as a such trusted
20 party who can sufficiently ensure the authenticity of the user interfaces connected to the networks of the operator. The owner of the server providing the application does not need to know the personality of the user, but the application provider can rely on the fact that the operator
25 has a trusts on the user and is therefore prepared to authenticate the use. The invention provides improved possibilities for remote working, as the authentication proceedings are made more reliable while the running time load caused by the authentication procedures to the system
30 is decreased and the authentication procedures as well as encryption key provision procedures are made more simple to accomplish and more transparent to the user, and while a good security for the connection can still be provided.

35 In the following the present invention and the other objects and advantages thereof will be described in an exemplifying manner with reference to the annexed drawings, in which similar reference characters throughout the

various figures refer to similar features.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 is a schematic presentation of one network arrangement including a server operating in accordance with the principles of the present invention;

Figure 2 is a schematic presentation of a table implemented
10 within the server of figure 1;

Figures 3 and 4 disclose flow charts for the operation of two embodiments of the present invention; and

15 Figures 5 and 6 illustrate signal flows between various parties in two different signaling situations.

DETAILED DESCRIPTION OF THE DRAWINGS

20 Figure 1 is a schematical presentation of an arrangement comprising a PSTN (Public Switched Telephone Network) to which a user is connected through his/hers user interface or terminal 1. A PSTN is a well known switched telephone network arrangement which is commonly used for voice and
25 data traffic in a manner per se known by the skilled person, and thus not explained in more detail herein. It is sufficient to note that a PSTN usually contains various exchanges (local branch exchanges, private branch exchanges, central exchanges, gateway exchanges etc.) and
30 connections (such as trunk lines) there between and connections or links to other networks and interfaces for connecting the user terminals to the PSTN.

It is noted that the invention is not intended to be
35 limited for use in such instances in which user interfaces or terminals are connected to a PSTN and thus operating through the PSTN network, but also other types of telecommunication networks capable of providing

communications between at least two parties could be used, such as various types of PLMNs (Public Land Mobile Networks). In this context it is noted that two commonly used PLMN systems are based respectively on CDMA (Code
5 Division Multiple Access) and TDMA (Time Division Multiple Access). For example, the IS-95 standard used in the USA is based on CDMA, whilst the widely used GSM standard (Global System for Mobile Communication) is based on TDMA. The future PLMNs are expected to be based on wideband solutions
10 of the above, such as W-CDMA or W-TDMA.

In the example of figure 1 the user terminal 1 consist of a data processing device, and more precisely of a Personal Computer (PC). A PC usually comprises a Central Processor
15 Unit (CPU), memory (ROM, RAM), a keyboard, a display, and necessary interface means for connecting and interfacing the data processing device to the PSTN exchange apparatus, such as to a branch exchange 3 of figure 1, through an operational connection 2. These interface means may
20 comprise e.g. a network board, appropriate connection ports and couplings, a modem, in case a modem connection is used, or the interface may be arranged by means of an ISDN connection (Integrated Services Digital Network) or by a xDSL (any Digital Subscriber Line) including an ADSL
25 (Asymmetric Digital Subscriber Line), or by an IDSL (ISDN Subscriber Line), or by an ATM (Asynchronous Transfer Mode) connection for high speed access, or by any other corresponding solution for accessing data networks.

30 A Network Access Server (NAS) 5 is operationally connected by a connection 4 to the PSTN exchange 3. In some instances the Access Server may also be implemented to form a part of the network exchange apparatus. In case the network to be accessed is a global packet data network utilizing TCT/IP
35 suite and referred to as the Internet, the Access Server (AS) is correspondingly often referred to as Internet Access Server (IAS).

The Network Access Server (NAS) is arranged to identify the telephone number to which the calling telephone subscription (i.e. the subscription used by PC 1) tries to establish a connection. In case the destination number is an Internet number, such as a number for an office network gateway 9 or a number for an Internet Service Provider (ISP) 9' beyond the Internet 8, the call will not be routed further to the Public Switched Telephone Network (PSTN) as an ordinary circuit switched call, but it is terminated to the NAS 5 and the data will then be routed via a data network to the Internet 8, usually in a form of data packets as a packet switched call. In other words, the NAS 5 is arranged to convert the circuit switched network signal coming from the terminal 1 to data packets which can then be sent over to a packet switched network and to transmit this packet data to the Internet 8. However, another types of suitable Access Nodes (ANs) than the above described NAS are also known, and may be used instead or a NAS for providing the access from the terminal 1 to the Internet 8.

In figure 1 the applications to be accessed are illustrated as an office network gateway 9 or ISPs 9'. In case the used application is the gateway 9, the user of the PC 1 can be seen as working remotely, e.g. at home, and as a user who accesses the office network gateway 9 through an Internet TCP/IP connection. However, it is to be noted that the application requiring authentication can be any other type of application accessible through a packet data network than the ones illustrated, such as a service or application provided by some other party than by the Internet Service providers (ISPs) 9'.

The arrangement comprises further an authentication node or authentication server, which in this example is named as a Domain Key Server (DKS) and is designated by 10. The authentication server is implemented in the interface or

connection point between the switched network and the
packed data network. Thus, as disclosed by figure 1, the
authentication server 10 is located in close relation with
the PSTN 4, preferably such that it is having a direct
5 connection with the Access Point i.e. the NAS 5 between the
PSTN 4 and the Internet 8.

An authentication server contains a record or a database
which includes all keys and/or signatures, i.e.
10 authentication data, which is needed in applications
requiring an authentication. To provide the authentication,
the applications are arranged to use an authentication
service provided by the authentication server 10. The
authentication server 10 is arranged to bind or combine the
15 authentication data to an identifier of the user interface
from which the communication or call originates. This
identifier can be e.g. a telephone subscription number
(e.g. E.164), an IMEI code (International Mobile Equipment
Identity) or a SIM code (Subscriber Identity Module), smart
20 card or similar device identification designating the
origin of an incoming call. These identifiers are also
stored within the authentication server, as will be
explained in more detail with reference to figure 2.

25 An important feature of the authentication server is that
it is implemented, run, managed, maintained and controlled
by a trusted party, such as by a telephone network operator
of the PSTN, or a governmental organization or a company
providing network security services, or network services in
30 general. Various organizations, such as companies,
universities, associations etc., can also have a key server
of their own. The server is preferably disposed physically
within or closely adjacent to the Access Server, and such
that no outsiders may have access to it so that it can
35 be trusted.

Figure 2 discloses one example of a table implemented
within the authentication server. The table 20 is used as a

record to store the necessary data / information for the authentication procedures. In the example the first column is a identification data field or a device identification field 22, which includes an identifier such as an E.164 telephone number, a SIM (Subscriber Identification Module) or an IMEI (International Mobile Equipment Identity), or a smart card identity. For reasons of clarity, the exemplifying field 22 of figure 2 shows only telephone numbers.

10

From the above the E.164 number is only an example of the different number addresses, E.164 being standardized by ITU-T (International Telecommunications Union). Other corresponding telephone numbers can also, naturally, be used as an user interface identification. The SIM, in turn, is usually a card or chip mounted within a mobile station, but corresponding identification modules can also be used in other terminals as well. The IMEI is an unique identification of a mobile station.

20

Some other identifiers, such as solutions based on end user accounts can also be used for the identification purposes. In addition, the type of the terminal can also be used for identification purposes. To give an example of the latter, the terminals could be divided e.g. into "dummy" and "intelligent" terminals in accordance with the operational principles thereof. A "dummy" terminal can be identified in accordance with the interface point (usually a fixed line connection point). An "intelligent" terminal is provided with a SIM, an IMEI, a smart card or similar, and the identification is based on this device instead of the interface point.

30

The second column of the table 20 forms a key type field 24. The type of the key may be, for instance, a public key, a private key, a signature, a symmetric or an asymmetric key.

The third column of the table 20 forms a field 26 for the name of the used cryptographic algorithm. The used algorithm can be, for instance, an algorithm based on a DES (Data Encryption Standard), or a RSA (Rivest, Shamir, Adleman -algorithm) or an IDEA (International Data Encryption Algorithm), or any other algorithm suitable for the cryptographic operations possibly required in the authentication procedures.

10

The fourth column of the table 20 forms an authentication data field 28 indicative of the used key / signature. As can be seen, the keys/signatures in the authentication data field 28 are bound to the respective identifiers in the identification data field 22 and other possible fields. It is to be noted that one user / identifier may have more than one key and/or signature which are then used in accordance of the requirements of the used applications.

15

According to one alternative table structure the identification data field 22 could be divided into separated fields such that the telephone number, SIM and IMEI; a smart card etc. each are included in a separate identification data field of their own. The data from the above separated fields could be utilized during the authentication procedure even such that the separated fields are compared to each other during the authentication.

20

In operation, an authentication server is used for storing appropriate authentication data or information and device identification data in such a manner that the respective data is combined in a predetermined manner. In the authentication server 10 the stored authentication data can be used to authenticate the calling device by means of the device identification data or identifier (e.g. by verifying the calling telephone number, or the SIM or the IMEI of the calling device to the authentication data). Alternatively

30

35

the calling device identification data can be used by the authentication data (e.g. by verifying the key or the signature to the identifier) for the authentication purposes. In other words, the binding of the calling device identity, i.e. user interface identification, and the authentication data can be accomplished in both ways, and thus the authenticity test can be done either by the device identification data (authenticity of the authentication data) or by the authentication data (authenticity of the device identification).

More precisely, and with reference to the flow chart of figure 3, the required keys/signatures and device identifiers are contained in and retrieved from the authentication server database or record. The arrangement may be such that the authentication server and the NAS are having keys of their own which authenticate them towards the other network apparatus.

More precisely, after a need for authentication has arisen at step 100, the application, such as 9 or 9' in figure 1, asks in step 102 for the telephone number or other identifier of the calling terminal from the NAS by using e.g. client's IP (Internet Protocol) number. The identifier can also be asked from some other trusted party having the calling terminal identifier. However, the first solution is preferred especially in such cases where dynamic IPs are used.

At step 104 the NAS sends then the requested telephone number or other identifier to the application, which transmits it further to the authentication server (DKS 10) at step 106. It is also possible to arrange this stage such that the number is sent directly from the NAS to the authentication server. The sent identifier data can preferably be encrypted with a public key of the authentication server.

In the authentication server the identifier data is then received at step 108 and bound with the authentication data. In case an associated authentication data is found and retrieved from the authentication server table at step 5 108, it is returned at step 110 as a response to the application. At this stage the authentication data can preferably be encrypted with application's public key.

10 After the application has received the authentication data, and found it to be appropriate and correct (step 112), it can be used in the application proceedings at step 114. Thus, in case the authentication data consist of a key or similar, the application uses this key for the possible 15 encryption operations. In case the authentication data is an electronic signature, the application can e.g. compare it to the signature received from the user so as to get a confirmation that the user is the one who he/she claims to be, and proceed accordingly depending on whether the user 20 is confirmed or not. For instance, in case the signatures received from the authentication server and from the user match to each other the proceedings are allowed to continue. In case the signatures do not match, i.e. they are different, the application does not allow any further 25 proceedings to be accomplished and may even immediately disconnect the connection. An example of an application in which the signature can be used is electronic commerce (E-Commerce) in which the signature can be used as a verification of the orders so that the seller can ensure 30 the user to be a real person and authorized to use the subscription to order the products and/or services.

According to an embodiment illustrated by the flow chart of figure 4 a client sends at step 120 his/hers telephone 35 number to the application, where after the application, such as the office gateway 9 of figure 1, asks at step 122 the NAS to confirm that this telephone number is the correct one. In case the NAS confirms at step 124 the

telephone number (i.e. the device identification), the application sends this telephone number to the authentication server at step 126. In case the number is not confirmed, the connections can be disconnected, or some other procedures, such as a retry, may follow. The transmission to the authentication server 10 can be encrypted at this stage by using the public key of the application 9 or the public key of the authentication server. The encrypting can also be accomplished by using the public key of the user contained in the authentication server record.

After the authentication server has received the device identifier at step 128, the authentication server retrieves a corresponding key/signature and returns this as response to the application at step 130. As described above, this transmission can be crypt at step 132 by using the public key of the authentication server or the application server of the user. The possible encrypting/decrypting and signing operations can be accomplished, for example, in the Internet Access Point (i.e. NAS 5 in figure 1) or even in the client i.e. the user interface, or, naturally, in the application itself.

In case the decryption is accomplished in the Access Server 5 of figure 1, the Access Server asks for user's private key from the authentication server 10. In case the decrypting is accomplished in the PC 1, the PC asks for the private key. Thus the private key is given only to the trusted parties. The public key, in turn, may be given to anybody who asks for it. The public key can be used only for crypting, whereas the private key can be used both for encrypting and decrypting of data. The application gives either its public key or a symmetric one-time or unique session key.

To increase the security level, it is possible to use various tunneling techniques in the communication between

the application server and the NAS and authentication servers using per se known tunneling protocols, such as L2TP (Layer 2 Tunneling Protocol) or IPSEC (IP Security Protocol). The transmitted data packets can also be encapsulated during the transmission thereof. A possibility is to use IPv6 protocol which enables encryption of headers. IPv6 protocol is a new version of the well known IP (Internet Protocol).

10 It is to be noted that while figures 3 and 4 disclose a situation in which the user interface identification data is transmitted to the authentication server so as to receive the corresponding authentication data, the sent information can also be an authentication data, which the application has received from the Access Server or from the user interface.

After sending this authentication data to the authentication server it receives a device identification, in case the authentication data was correctly announced. This received device identification has to match with the device identifier data possibly received from the Access Server or the user interface during the original communications with the user interface.

25 Figures 5 and 6 disclose exemplifying flow charts for possible signaling between various parties. Those messages which are not encrypted are designated by a dashed line and the encrypted messages are designated by a solid line.

30 In figure 5, a connection is established and a telephone number is transmitted as an identifier from a telephone exchange to the NAS by message 50. The user interface makes thereafter a connection by message 51 to the application for the first time. The message 51 may include the telephone number of similar device identifier. The messages 50 and 51 are not encrypted.

The application asks for the subscription number of the calling device or similar identifier by message 52. This connection may be encrypted e.g. by the public key of the NAS. Subsequently the NAS responds to the inquiry by message 53. This message may be encrypted e.g. by application's public key. The application asks then for the key of the user from the authentication server DKS by message 54. This message may be encrypted by the public key of the DKS. The DKS responds to the inquiry by message 55, which may be encrypted by using the public key of the application.

In this embodiment even the NAS asks for the key of the user from the DKS by message 56. This message can be encrypted by the public key of the DKS. The DKS responds to the inquiry by message 57, which may be encrypted by the public key of the NAS.

In case the user was authenticated successfully, a connection is established between the user interface and the application. As can be seen, the messages 59 between the user interface and the NAS are not encrypted and the messages 58 between the NAS and the application are, in turn, encrypted. The NAS is arranged to perform the required encryption and decryption operations, e.g. by retrieved keys or by a session key which has been agreed between the parties.

In figure 6, a connection is established and a telephone number or similar is transmitted as an identifier to the NAS by a message 60. Then user interface takes a connection by message 61 to the application for the first time. As above, the message 61 may include the telephone number of similar device identifier. The messages 60 and 61 are not encrypted.

The application ask for the subscription number of the calling device or similar identifier by message 62. This connection can be encrypted e.g. by the public key of the NAS. Subsequently the NAS responds to the inquiry by message 63. This message can be encrypted e.g. by application's public key. The applications asks then for the key of the user from the authentication server DKS by message 64. This message may be encrypted by the public key of the DKS. The DKS responds to the inquiry by message 65, which may be encrypted by using the public key of the application.

In this embodiment the user interface asks for the user interface number from the NAS by message 66. This message can be encrypted by the public key of the NAS, in case the user interface is aware of this key. The NAS responds to the inquiry by message 67. If necessary, this may be encrypted by an one time key, in case the user interface provided a such together with the inquiry message 66.

After this the user interface asks for the user key from the DKS by message 68. This may be encrypted by the public key of the DKS, in case the user interface is aware of this. Thereafter the DKS responds to the inquiry by message 69. This message may be encrypted, in case the user interface provided an one time key in the message 68.

Finally, a connection is established between the user interface and the application. As can be seen, the whole messaging 70 between the user interface and the application is encrypted. The user interface is now capable of performing the required encryption and decryption operations, e.g. by the retrieved keys or by a session key which has been agreed between the parties.

Thus, the invention provides apparatus and methods by which a significant improvement can be achieved in the security of connections. The security in the authentication

procedures is improved as the authentication server provides an improved certainty of the keyholder (the identity data) and of the correctness of the authentication data, such as an electronic key or signature. The invention
5 enables a provision of a reliable link in which a part of the connection is accomplished e.g. in a switched network and a part in a tunneled packet network. The invention provides good possibilities e.g. for remote working, for remote education or remote maintenance of patient records,
10 for remote updating of databases etc., as it enables the user to use an asymmetric key when establishing the connection and then sent an one time symmetric key for the connection itself in case the use of the asymmetric keys makes the running of the process otherwise too heavy to
15 accomplish.

It should be noted that the foregoing examples of the embodiments of the invention are not intended to restrict the scope of the invention to the specific forms presented
20 above but the present invention is meant rather to cover all modifications, similarities and alternatives which are included in the spirit and scope of the present invention, as defined by the appended claims.

Claims

1. A method of authenticating communications in telecommunications networks comprising:

- 5 storing authentication data in an authentication server;
- storing a user interface identification data in the authentication server such that it is bound with the associated authentication data;
- 10 transmitting an identification data of a communicating user interface to the authentication server;
- receiving the identification data in the authentication server;
- retrieving such authentication data from the stored authentication data which is bound to the received identification data; and
- 15 transmitting at least a part of the retrieved authentication data from the authentication server as a response to the received identification data.

20

2. A method for authenticating communications in telecommunications networks comprising:

- storing authentication data in an authentication server;
- 25 storing a user interface identification data in the authentication server such that it is bound with the associated authentication data;
- transmitting an authentication data for a communicating user interface to the authentication server;
- 30 receiving the authentication data in the authentication server;
- retrieving such user interface identification data from the stored user interface identification data which is bound to the received authentication data; and
- 35 transmitting at least a part of the retrieved user interface identification data from the authentication server as a response to the received authentication data.

3. A method according to claim 1 or 2, wherein the user interface is communicating with an application implemented in a packet data network, the user interface comprising a data processing device and being connected to a circuit switched telecommunications network having an operational connection to the packet data network.
4. A method according to any of claims 1 to 3, wherein the authentication data consists of an electronic key or signature and the user interface identification data is one of a telecommunications subscription number, a Subscriber Identification Module (SIM), an International Mobile Equipment Identity (IMEI), a smart card identity, or is a combination of at least two of said identifiers.
5. A method according to any of claims 1 to 4, wherein the communications between the authentication server and network apparatus requesting authentication is encrypted using public keys or private keys of the application or the authentication server or the user.
6. A method according to any claims 1 to 5, wherein tunneling is used for the communications between the authentication server and network apparatus requesting the authentication.
7. A method according to any claims 1 to 6, wherein a key received from the authentication server is used when encrypting the communications.
8. A method according to any claims 1 to 7, wherein a request for receiving the authentication data or the user interface identification data from the authentication server as a response to the data transmitted to the authentication server is sent by one of the following:
- an application the user interface is currently communicating with, or

an access server providing an access for the user interface from one telecommunications network to another telecommunications network, or the user interface.

5

9. A method according to any claims 1 to 8, wherein the authentication server is managed and operated by a telephone network operator, a governmental organization, a private security organization or a similar organization providing trusted operation and management of the authentication server.

10

10. An arrangement for use in telecommunications networks, comprising

15

a user interface connected to a first telecommunications network, said user interface having an identification of its own,

an application accessible through a second telecommunications network,

20

an access server enabling the user interface to access the second telecommunications network through the first telecommunications network, and

an authentication server, wherein the authentication server comprises a record or a database in which the identification of the user interface and a corresponding authentication data are stored in a retrievable manner and such that they are bound together.

25

11. An arrangement according to claim 10, wherein the first telecommunications network is a circuit switched telephone network and the user interface comprises a data processing device, and the second telecommunications network is a packet data network operationally connected to said first network, and wherein the authentication server is arranged to have a direct connection with the access server between said first and second networks.

30

35

12. An arrangement according to claim 10 or 11, wherein the authentication server contains a table having a field for the authentication data in form of an electronic key or a signature and a field for the identification data in form of a telephone subscription number or a Subscriber Identification Module (SIM) or an International Mobile Equipment Identity (IMEI) or a smart card identity or similar means for providing identity.

13. An arrangement according to any of claims 10 to 12, wherein the application is a gateway to a local area network, such as to an office network.

14. An arrangement according to any of claims 10 to 13, wherein the second telecommunications network is accessed through an Access Server arranged to terminate a circuit switched call and to convert the communications into packet data so as to enable communications in a packet data network.

15. An arrangement according to any of claims 10 to 14, wherein the authentication server is implemented in an access point between the first telecommunications network and the second telecommunications network.

16. An arrangement according to any of claims 10 to 15, wherein at least a part of the communications between the authentication server and the other parties of the communications is encrypted and/or tunneled.

17. An arrangement according to any of claims 10 to 16, wherein the authentication server is managed and run by a telephone network operator, a governmental organization, a private security organization or a similar organization providing trusted operation and management of the authentication server.

18. An authentication server for use in telecommunications networks, comprising a database or record for storing a user interface identification data for a user interface terminal connected to a telecommunication network and authentication data for a plurality of user interface terminals such that the user interface identification is bound to an associated authentication data for said user interface terminal.
- 10 19. An authentication server according to claim 18, wherein the server is implemented to have a direct connection with an access server of a circuit switched telephone network to which the user interface terminal is connected, the user interface terminal comprising a data processing device, and
15 wherein a packet data network is operationally connected to said circuit switched telephone network through said access server, and wherein an application is provided via said packet data network.
- 20 20. An authentication server according to claim 18 or 19, wherein the authentication server contains a table having a field for the authentication data in form of an electronic key or a signature and a field for the identification data in form of a telephone subscription number or a Subscriber
25 Identification Module (SIM) or an International Mobile Equipment Identity (IMEI) or a smart card identity or similar means for providing identity.
21. An authentication server according to claim 19 or 20,
30 wherein the authentication server is implemented in an access point between the circuit switched telephone network and the packet data network.
22. An authentication server according to any of claims 18
35 to 21, wherein at least a part of the communications between the authentication server and the other parties of the communications is arranged to be encrypted and/or tunneled.

23. An authentication server according to any of claims 18 to 22, wherein the authentication server is managed and run by a telephone network operator, a governmental
5 organization, a private security organization or a similar organization providing trusted operation and management of the authentication server.

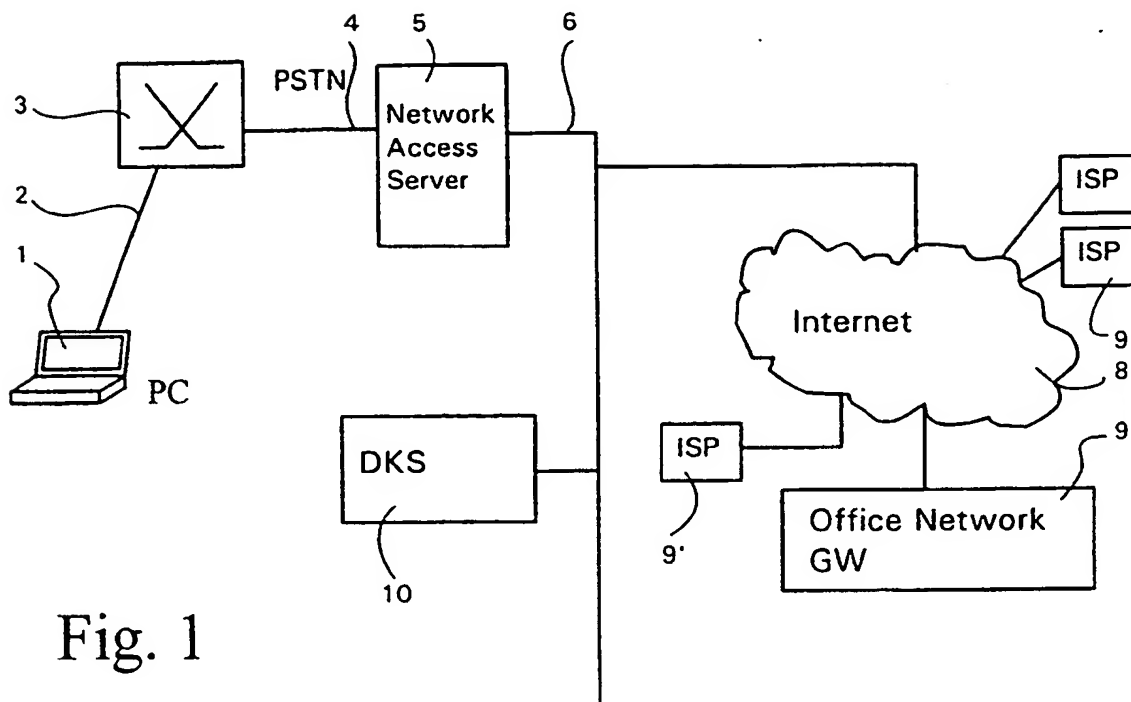


Fig. 1

Fig. 2

22 E.164 (Tel. No) SIM IMEI	24 Key Type	26 Name of the algorithm	28 Key / signature
092993056	Public	DES	MyPublicKey
092993056	Private	DES	MyPrivateKey
092992173	Signing	RSA	Signature
092992173	Symmetric	IDEA	MySymmetricKey
...			

2/4

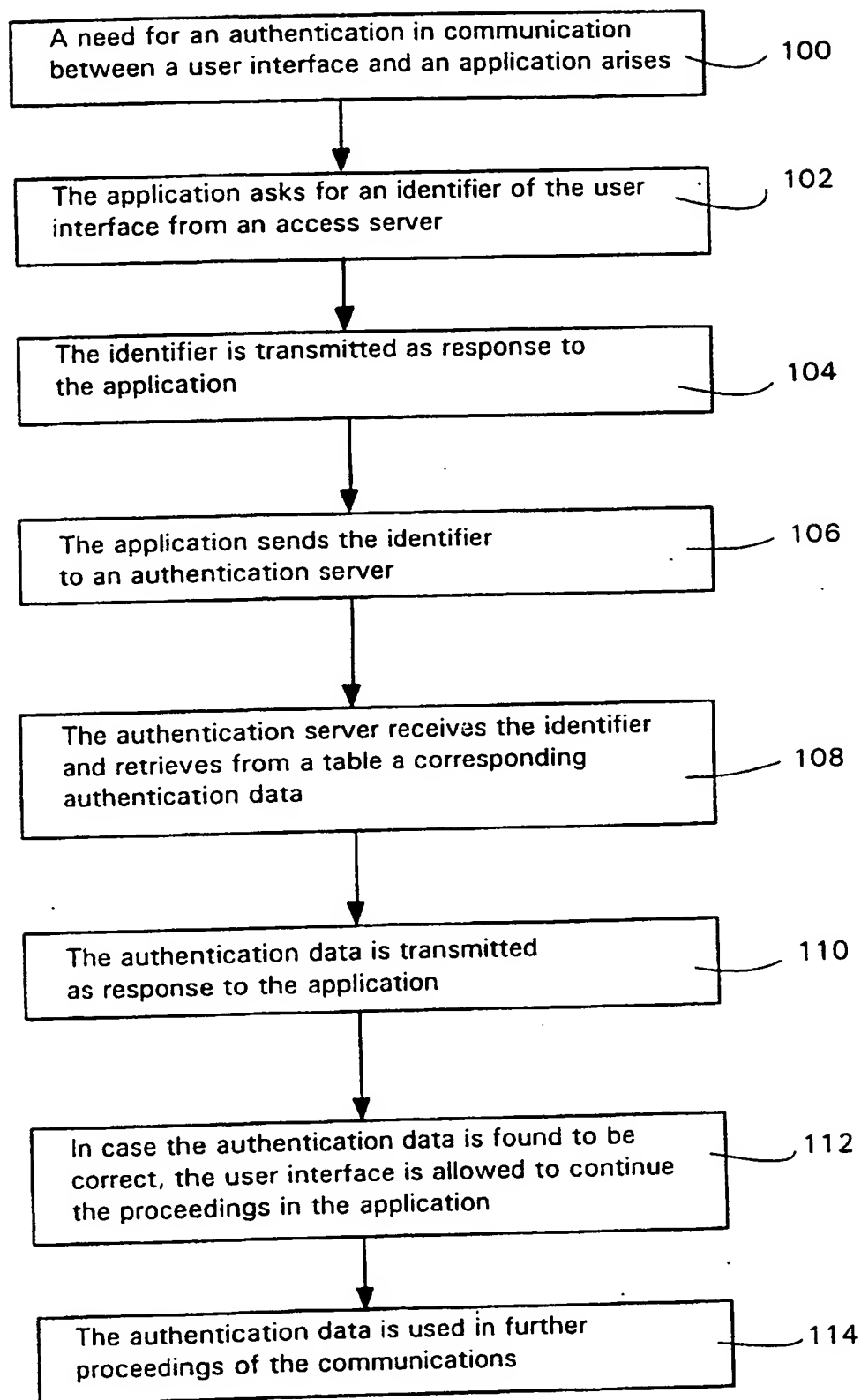


Fig. 3

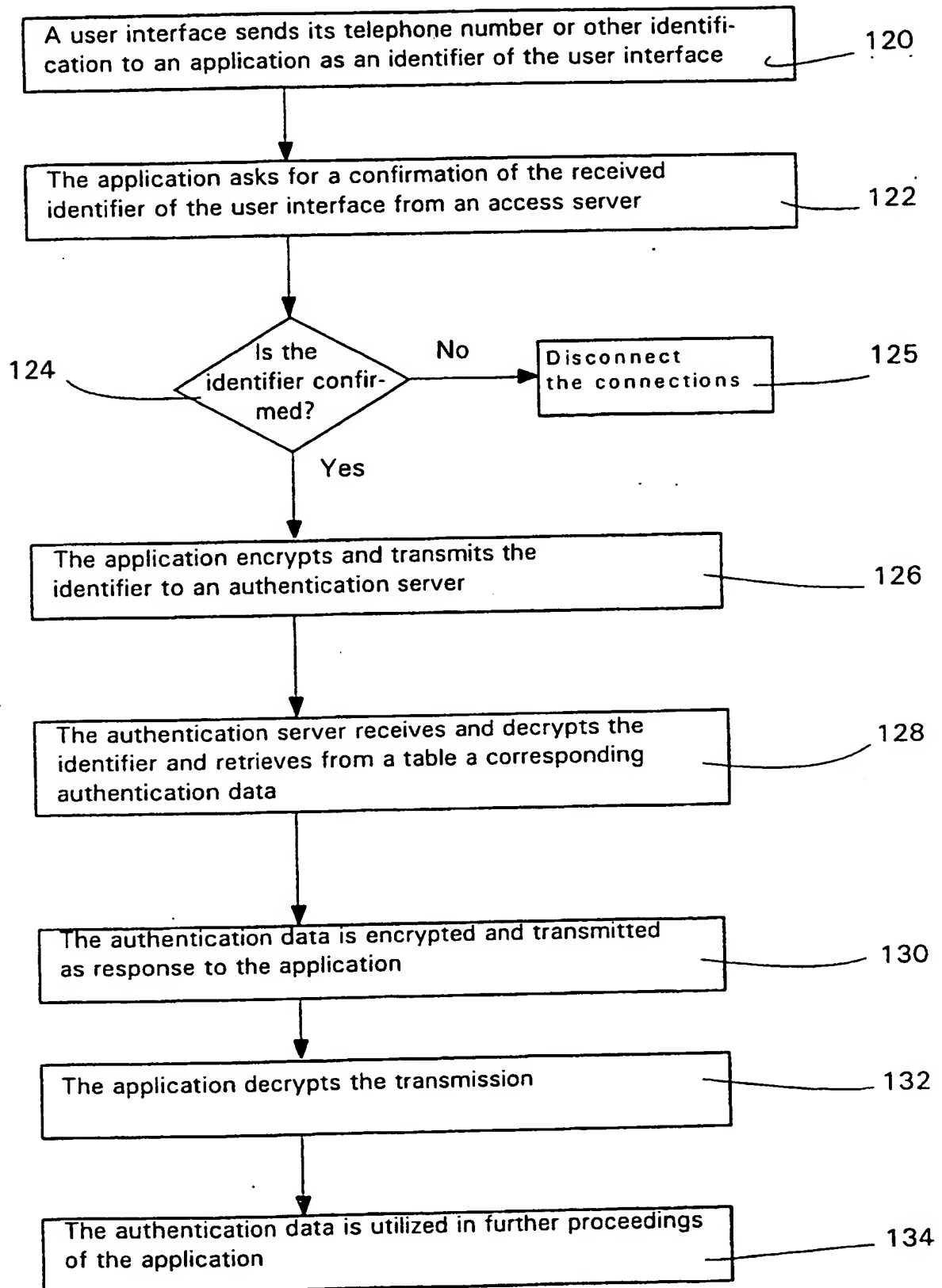


Fig. 4

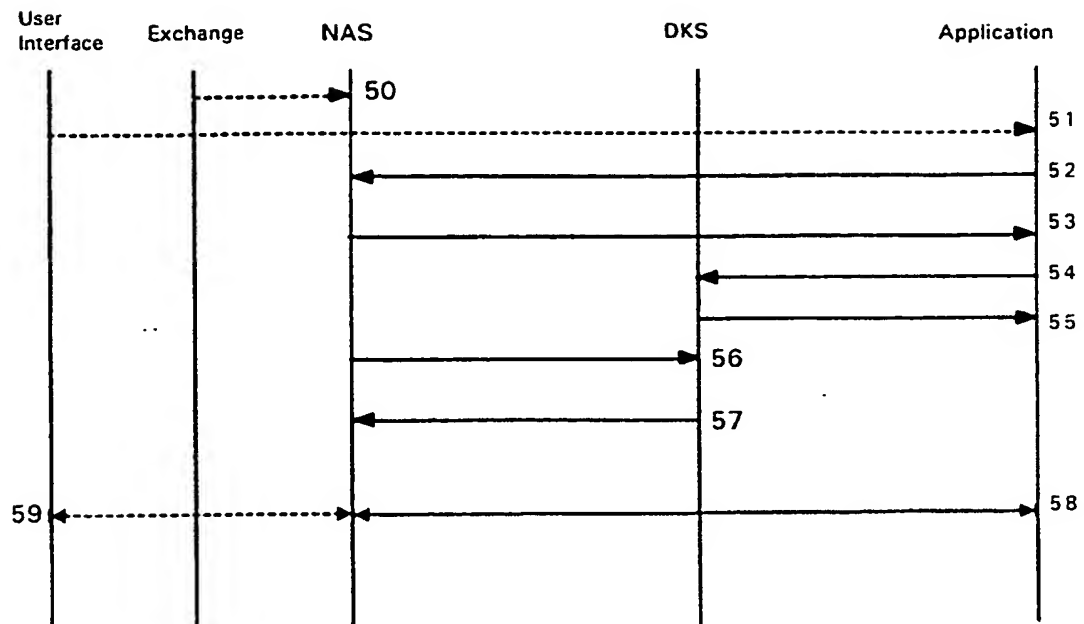


Fig. 5

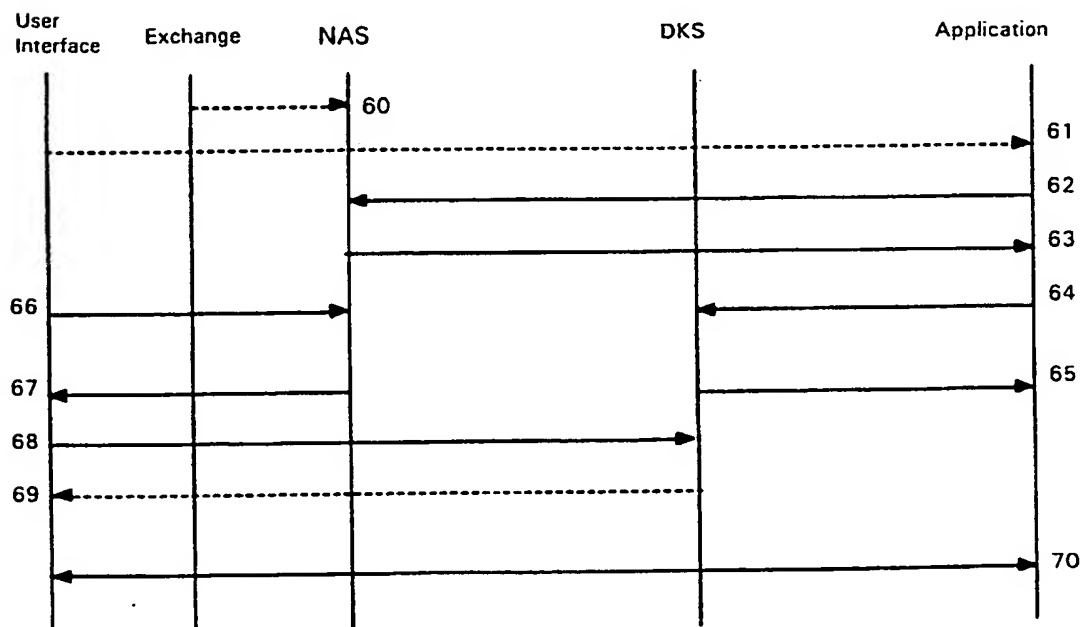


Fig. 6

INTERNATIONAL SEARCH REPORT

Int. .onal Application No

PCT/EP 99/02140

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04L12/22 H04L29/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 367 361 A (GTE MOBILNET INC) 9 May 1990 (1990-05-09) abstract page 2, column 2, line 49 - page 3, column 3, line 31 page 7, column 11, line 50 - page 9, column 15, line 40 ---	1,4
X	US 5 297 189 A (CHABERNAUD CHRISTIAN) 22 March 1994 (1994-03-22) abstract column 2, line 15 - column 4, line 15 column 6, line 52 - line 55 column 7, line 63 - column 8, line 4 figures 1,2 ---	2,4,8, 18,20,21
A	--- -/--	12

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

12 August 1999

Date of mailing of the international search report

20/08/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Poggio, F

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 99/02140

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 13113 A (SECURE COMPUTING CORP) 2 May 1996 (1996-05-02) abstract page 9, line 15 - page 11, line 15 page 15, line 16 - page 19, line 9 -----	10, 13, 15, 18, 22
A	EP 0 738 095 A (IBM) 16 October 1996 (1996-10-16) abstract page 7, column 9, line 40 - page 9, column 13, line 38 page 17, column 30, line 37 - line 47 figure 4 -----	3, 11, 19

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 99/02140

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0367361	A	09-05-1990	US 4958368 A CA 2001857 A	18-09-1990 30-04-1990
US 5297189	A	22-03-1994	FR 2662880 A CA 2043292 A EP 0459337 A FI 912550 A	06-12-1991 01-12-1991 04-12-1991 01-12-1991
WO 9613113	A	02-05-1996	US 5864683 A AU 3888595 A EP 0787397 A	26-01-1999 15-05-1996 06-08-1997
EP 0738095	A	16-10-1996	US 5659596 A JP 8322081 A	19-08-1997 03-12-1996